For Division DHS Through Periods 7/1/2019 -- 12/31/2019

Iowa Department of Human Services

Report Series D-3 Administrative Use Only

Dependent Adult Abuse Program

The numbers reported in this report represent:

- · Number of reports of suspected abuse the Department receives according to type of abuse defined in Iowa Code 235B.
- Number of reports of abuse accepted or rejected for evaluation or assessment.
- Whether the person who reported the abuse was required to report.
- · Of those who were required to report the abuse, how many of each profession made reports.
- How many reports found the abuse occurred, did not occur or it occurred, but because the abuse was minor, isolated and not likely to reoccur was not placed on the central abuse registry.
- The number of reports founded, unfounded or confirmed, not registered of each type of abuse.
- How many dependent adults received which type of service after the report was completed.
- · The number of persons responsible for the abuse held responsible legally or received services after the report was completed.

Intake			
Accepted	Rejected	In Progress	Total
1939	2567	8	4514

Column Name Definition

Accepted Total number of reports of alleged abuse or neglect accepted for a social worker to go and evaluate or assess to determine if the dependent adult was in fact abused or neglected.

Rejected Total number of reports of alleged abuse or neglect rejected due to the allegation not fitting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.

In Progress Open cases where the intake has not been accepted or rejected.

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Evaluation or Assessment						
Founded	Unfounded	Confirmed, Not Registered	In Progress	Total		
201	1493	86	158	1938		

Column Name Definition

Founded Total number of reports evaluated or assessed that were found to have occurred.

Unfounded Total number of reports evaluated or assessed that were determined to have not occurred.

Confirmed, Not Registered Total number of reports evaluated or assessed that were determined to have occurred, but were not placed on the Registry.

<u>In Progress</u> Open cases where the evaluation has not been accepted.

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Summary of Intake Allegations					
Type of Abuse	Total	Total Accepted	Total Rejected	% Accepted	% Rejected
Denial of Critical Care	1796	886	910	49.33 %	50.67 %
Denial of Critical Care Due to Adult's Actions	1216	532	684	43.75 %	56.25 %
Exploitation	852	324	528	38.03 %	61.97 %
Personal Degradation	144	66	78	45.83 %	54.17 %
Physical Abuse	515	253	262	49.13 %	50.87 %
Sexual Abuse	150	44	106	29.33 %	70.67 %
Total Allegations	4673	2105	2568	45.05 %	54.95 %

Column Name Definition

Type of Abuse Iowa Code 235B defines what abuse is. The types of abuse recognized in the Code are: Physical, sexual, exploitation, denial of critical care when there is a caretaker and denial of critical of care when the dependent adult is responsible.

<u>Total</u> Total number of accepted/rejected allegations made to the Department.

Total Accepted

The number of reports of alleged abuse or neglect accepted for a social worker to evaluate or assess circumstances surrounding the allegation of abuse to determine if the dependent adult was in fact abused or neglected.

<u>Total Rejected</u> The number of reports of alleged abuse or neglect rejected due to the allegation not fitting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.

<u>% Accepted</u> The percentage of the total number of reports accepted for evaluation or assessment for each category of abuse.

<u>% Rejected</u> The number of reports of alleged abuse or neglect rejected due to the allegation not meeting the definitions of abuse found in Iowa Code 235B, or there was not a caretaker or the adult was not dependent.

^{*} This report is a Summary of Allegations and not a summary of reports. If a reported incident has more than one allegation, that report will be counted multiple times in the totals above.

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Types of Reporters						
Type of Reporter	Total	Total Accepted	Total Rejected	% Accepted	% Rejected	
AAA/ADRC	1	0	1	0.00 %	100.00 %	
Anonymous	0	0	0	0.00 %	0.00 %	
Financial Institution - Permissive	132	13	119	9.85 %	90.15 %	
Hospital Social Worker	142	75	67	52.82 %	47.18 %	
Known Permissive	1735	577	1158	33.26 %	66.74 %	
Licensed Psychologist	11	5	6	45.45 %	54.55 %	
Nurse	574	289	285	50.35 %	49.65 %	
Other Medical Personnel	362	182	180	50.28 %	49.72 %	
Other Mental Health Professional	81	35	46	43.21 %	56.79 %	
Other Social Service Professional	854	453	401	53.04 %	46.96 %	
Parole/Probation Officer	7	3	4	42.86 %	57.14 %	
Physician	105	51	54	48.57 %	51.43 %	
Residential Staff	38	20	18	52.63 %	47.37 %	
School Personnel	28	12	16	42.86 %	57.14 %	
Sheriff/Deputy/Police Officer	212	107	105	50.47 %	49.53 %	
Social Worker – non DHS	334	161	173	48.20 %	51.80 %	
Social Worker -DHS	75	61	14	81.33 %	18.67 %	
Total Reporters	4691	2044	2647	43.57 %	56.43 %	

Column Name Definition

Type of Reporter

Anyone may report suspected abuse or neglect; however, IA Code 235B mandates professionals who work with dependent adults to report suspected abuse. These reporters are called mandatory reporters. All other reporters are called permissive reporters. A Mandatory Reporter Reporting Permissively is a person who is mandatory reporter due to their employment, but they are reporting suspected abuse that occurred outside of their employment.

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<u>Total</u>	Total number of accepted/rejected reports of suspected abuse made to the Department.
Total Accepted	The number of reports of alleged abuse or neglect accepted for a social worker to go and evaluate or assess to determine if the dependent adult was in fact abused or neglected.
Total Rejected	The number of reports of alleged abuse or neglect rejected due to the allegation not fitting the definitions of abuse found in lowa Code 235B, or there was not a caretaker or the adult was not dependent.
% Accepted	The percentage of the total number of reports accepted for evaluation or assessment for each category of abuse.
% Rejected	The percentage of the total reports rejected due to there not being an allegation of abuse, the person responsible for abuse is not a caretaker, or the adult was not dependent.

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Types of Mandatory Reporters					
Туре	Total	Total Accepted	Total Rejected	% Accepted	% Rejected
AAA/ADRC	1	0	1	0.00 %	100.00 %
Hospital Social Worker	142	75	67	52.82 %	47.18 %
Licensed Psychologist	11	5	6	45.45 %	54.55 %
Nurse	574	289	285	50.35 %	49.65 %
Other Medical Personnel	362	182	180	50.28 %	49.72 %
Other Mental Health Professional	81	35	46	43.21 %	56.79 %
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Social Worker –DHS	75	61	14	81.33 %	18.67 %
Totals Mandatory Reporters	2824	1454	1370	51.49 %	48.51 %

Column Name Definition

Type of Mandatory The types of professionals who are required by Code to report suspected abuse fall into the following general categories: social work, health care, law enforcement, mental health and other.

<u>Total</u> Total number of accepted/rejected reports of suspected abuse received from each identified professionals.

<u>Total Accepted</u> Total number of reports of suspected abuse made by identified professionals that were accepted for evaluation or assessment.

Total Rejected Total number of reports of suspected abuse made by identified professionals that were rejected for evaluation or assessment due to not being a Code defined allegation of abuse, of the persons responsible for the abuse was not a caretaker, or the adult was not dependent.

<u>% Accepted</u> Percentage of number of reports of suspected abuse made by identified professionals accepted for evaluation or assessment.

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% Rejected Percentage of number of reports of abuse made by identified professionals rejected for evaluation or assessment.

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Outcomes of Accepted Allegations for Evaluation or Assessment

Type of Abuse	Founded		Unfou	Unfounded		Confirmed, Not Registered	
	Total	Percentage	Total	Percentage	Total	Percentage	
Physical Abuse	5	2.1%	212	88.3%	23	9.6%	
Denial of Critical Care	76	8.7%	735	84.5%	59	6.8%	
Sexual Abuse	4	10.5%	34	89.5%	0	0.0%	
Exploitation	56	19.1%	237	80.9%	0	0.0%	
Denial of Critical Care Due to Adult's Actions	61	14.3%	367	85.7%	0	0.0%	
Personal Degradation	5	7.9%	51	81.0%	7	11.1%	
Total	207		1636		89		

Column	Nama	Definition

Founded Total The total number of reports of abuse evaluated or assessed that were found to have occurred in each category

Founded Percentage Percentage of the total number of reports evaluated or assessed for this category of abuse that were determined to have occurred.

<u>Unfounded Total</u> Total number of reports evaluated or assessed for this category of abuse that were determined to have not occurred.

<u>Unfounded Percentage</u> Percentage of total number of reports evaluated or assessed for this category of abuse that were determined to have not occurred.

Confirmed, Not Registered Total Total number of reports of abuse evaluated or assessed in this category of abuse that were determined to have occurred, but were not placed on

the Registry.

Confirmed, Not Registered Percentage Percentage Percentage of total number of reports of abuse evaluated or assessed in this category of abuse founded, but were not registered in this category.

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Recommendations For Services

Service	Total
Care/case management services (includes AAA/ADRC)	115
Caregiver support services	39
Education, employment and training services	12
Emergency assistance and material aid services	11
Financial planning services	15
In-home assistance services	114
Legal services referral	17
Medical and dental services	73
Mental health services	55
Public assistance benefits	30
Substance use services	10
Transportation	15
Victim services	4
Recommended service is unavailable in the subject's area	1
Periodic Visits	61
Other Services	162
None	1310

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Perpetrator Legal Remedy

Remedy	Total
Recommendation of removal of guardianship rights	7
Recommendation of restraining order on perpetrator regarding the client	11
Recommendation of eviction of perpetrator	26
Recommendation of restitution by perpetrator	5
Recommendation of other legal remedy	20
No crime committed	540
None	1218

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Interagency Coordination

Agency	Total
Law enforcement or prosecutorial offices (includes DCI, County Attorney, Local Law Enforcement)	138
Protection and Advocacy or Client Advocacy Program (CAP) such as Disability Rights Iowa, Iowa Civil Rights Commission, and the Equal Opportunity Commission, Federal Department of Labor	4
State licensing agency (Department of Inspections & Appeals, Department of Public Health, Iowa Medicaid Enterprise)	39
State Medicaid Fraud Control (MFCU)	0
Long Term Care Ombudsman Program	6
Office of the Substitute Decision Maker	26
AAA/ADRC	18
Crime Victim Assistance Program	3
Child Action Center	0
Iowa Workforce Development, Division of Labor Services	0
State Fire Marshall's Office	2
None	1569
Other	140